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PTO/SB/05 (4/98)
Approved for use through 09/30/2000. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No.	SP05US
First Inventor or Application Identifier	Paul Lapstun
Title	A Printer Controller for a High-Speed Printer
Express Mail Label No.	EJ191607475US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

- | | |
|---|--|
| 1. <input checked="" type="checkbox"/> * Fee Transmittal Form (e.g., PTO/SB/17)
<i>(Submit an original and a duplicate for fee processing)</i> | 5. <input type="checkbox"/> Microfiche Computer Program (<i>Appendix</i>) |
| 2. <input checked="" type="checkbox"/> Specification [Total Pages 22]
<i>(preferred arrangement set forth below)</i> <ul style="list-style-type: none"> - Descriptive title of the Invention - Cross References to Related Applications - Statement Regarding Fed sponsored R & D - Reference to Microfiche Appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (<i>if filed</i>) - Detailed Description - Claim(s) - Abstract of the Disclosure | 6. Nucleotide and/or Amino Acid Sequence Submission
<i>(if applicable, all necessary)</i> <ul style="list-style-type: none"> a. <input type="checkbox"/> Computer Readable Copy b. <input type="checkbox"/> Paper Copy (identical to computer copy) c. <input type="checkbox"/> Statement verifying identity of above copies |
| 3. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets 12] | 7. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s)) |
| 4. Oath or Declaration [Total Pages 3] | 8. <input type="checkbox"/> 37 C.F.R. § 3.73(b) Statement <input type="checkbox"/> Power of
<i>(when there is an assignee)</i> <input type="checkbox"/> Attorney |
| a. <input checked="" type="checkbox"/> Newly executed (original or copy) | 9. <input type="checkbox"/> English Translation Document (<i>if applicable</i>) |
| b. <input type="checkbox"/> Copy from a prior application (37 C.F.R. § 1.63(d))
<i>(for continuation/divisional with Box 16 completed)</i> | 10. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS
Statement |
| i. <input type="checkbox"/> DELETION OF INVENTOR(S)
Signed statement attached deleting
inventor(s) named in the prior application,
see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b). | 11. <input type="checkbox"/> Preliminary Amendment |
| 12. <input type="checkbox"/> Return Receipt Postcard (MPEP 503)
<i>(Should be specifically itemized)</i> | |
| 13. <input checked="" type="checkbox"/> Small Entity Statement(s) <input type="checkbox"/> Statement filed in prior application,
<i>(PTO/SB/09-12)</i> <input type="checkbox"/> Status still proper and desired | |
| 14. <input type="checkbox"/> Certified Copy of Priority Document(s)
<i>(if foreign priority is claimed)</i> | |
| 15. <input type="checkbox"/> Other:
.....
.....
..... | |

NOTE FOR ITEMS 1 & 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

Continuation Divisional Continuation-in-part (CIP) of prior application No: _____ / _____

Prior application information: Examiner _____ Group / Art Unit: _____

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS

<input type="checkbox"/> Customer Number or Bar Code Label <small>(Insert Customer No. or Attach bar code label here)</small>	or <input checked="" type="checkbox"/> Correspondence address below				
Name	Kia Silverbrook Silverbrook Research Pty Ltd				
Address	393 Darling Street				
City	Balmain	State	NSW	Zip Code	2041
Country	AUSTRALIA	Telephone	61-2-9818-6633	Fax	61-2-9818-6711

Name (Print/Type)	Kia Silverbrook	Registration No. (Attorney/Agent)	
Signature		Date	February 14, 2000

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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FEE TRANSMITTAL for FY 2000

Patent fees are subject to annual revision.

Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12. See 37 C.F.R. §§ 1.27 and 1.28.

TOTAL AMOUNT OF PAYMENT (\$ 425)

Complete if Known	
Application Number	
Filing Date	
First Named Inventor	Paul Lapstun
Examiner Name	
Group / Art Unit	
Attorney Docket No.	SP05US

METHOD OF PAYMENT (check one)

1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number _____

Deposit Account Name _____

 Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17

2. Payment Enclosed:

 Check Money Order Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Small Entity

Fee Code (\$)	Fee	Fee Code (\$)	Fee	Fee Description	Fee Paid
101	690	201	345	Utility filing fee	345
106	310	206	155	Design filing fee	
107	480	207	240	Plant filing fee	
108	690	208	345	Reissue filing fee	
114	150	214	75	Provisional filing fee	

SUBTOTAL (1) (\$ 345)

2. EXTRA CLAIM FEES

Total Claims	9	-20** =	X	9	= 0
Independent Claims	2	- 3** =	X	39	= 0
Multiple Dependent					= 0

** or number previously paid, if greater; For Reissues, see below

Large Entity Small Entity

Fee Code (\$)	Fee	Fee Code (\$)	Fee	Fee Description	Fee Paid
103	18	203	9	Claims in excess of 20	
102	78	202	39	Independent claims in excess of 3	
104	260	204	130	Multiple dependent claim, if not paid	
109	78	209	39	** Reissue independent claims over original patent	
110	18	210	9	** Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) (\$ 0)

3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath
127	50	227	25	Surcharge - late provisional filing fee or cover sheet
139	130	139	130	Non-English specification
147	2,520	147	2,520	For filing a request for reexamination
112	920*	112	920*	Requesting publication of SIR prior to Examiner action
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action
115	110	215	55	Extension for reply within first month
116	380	216	190	Extension for reply within second month
117	870	217	435	Extension for reply within third month
118	1,360	218	680	Extension for reply within fourth month
128	1,850	228	925	Extension for reply within fifth month
119	300	219	150	Notice of Appeal
120	300	220	150	Filing a brief in support of an appeal
121	260	221	130	Request for oral hearing
138	1,510	138	1,510	Petition to institute a public use proceeding
140	110	240	55	Petition to revive - unavoidable
141	1,210	241	605	Petition to revive - unintentional
142	1,210	242	605	Utility issue fee (or reissue)
143	430	243	215	Design issue fee
144	580	244	290	Plant issue fee
122	130	122	130	Petitions to the Commissioner
123	50	123	50	Petitions related to provisional applications
126	240	126	240	Submission of Information Disclosure Stmt
581	40	581	40	Recording each patent assignment per property (times number of properties)
146	690	246	345	Filing a submission after final rejection (37 CFR § 1.129(a))
149	690	249	345	For each additional invention to be examined (37 CFR § 1.129(b))

Other fee (specify) _____

Other fee (specify) _____

Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 80)

SUBMITTED BY

Name (Print/Type)	Kia Silverbrook	Registration No. (Attorney/Agent)		Telephone	612-9818-6633
Signature			Date	February 14, 2000	

WARNING:

Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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TOTAL AMOUNT OF PAYMENT (\$ 425)

Complete if Known

Application Number	
Filing Date	
First Named Inventor	Paul Lapstun
Examiner Name	
Group / Art Unit	
Attorney Docket No.	SP05US

503 U 505 2000 PTO
02/15/00

METHOD OF PAYMENT (check one)				FEE CALCULATION (continued)			
1. <input type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:				3. ADDITIONAL FEES			
Deposit Account Number				Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
Deposit Account Name				105	130	205 65 Surcharge - late filing fee or oath	
<input type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17				127	50	227 25 Surcharge - late provisional filing fee or cover sheet.	
2. <input checked="" type="checkbox"/> Payment Enclosed:				139	130	139 130 Non-English specification	
<input checked="" type="checkbox"/> Check <input type="checkbox"/> Money Order <input type="checkbox"/> Other				147	2,520	147 2,520 For filing a request for reexamination	
FEE CALCULATION				112	920*	112 920* Requesting publication of SIR prior to Examiner action	
1. BASIC FILING FEE				113	1,840*	113 1,840* Requesting publication of SIR after Examiner action	
Large Entity Small Entity				115	110	215 55 Extension for reply within first month	
Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid	116	380	216 190 Extension for reply within second month	
101 690	201 345	Utility filing fee	345	117	870	217 435 Extension for reply within third month	
106 310	206 155	Design filing fee		118	1,360	218 680 Extension for reply within fourth month	
107 480	207 240	Plant filing fee		128	1,850	228 925 Extension for reply within fifth month	
108 690	208 345	Reissue filing fee		119	300	219 150 Notice of Appeal	
114 150	214 75	Provisional filing fee		120	300	220 150 Filing a brief in support of an appeal	
SUBTOTAL (1) (\$ 345)				121	260	221 130 Request for oral hearing	
2. EXTRA CLAIM FEES				138	1,510	138 1,510 Petition to institute a public use proceeding	
Total Claims	9	-20**	= 0	140	110	240 55 Petition to revive - unavoidable	
Independent Claims	2	- 3**	= 0	141	1,210	241 605 Petition to revive - unintentional	
Multiple Dependent				142	1,210	242 605 Utility issue fee (or reissue)	
**or number previously paid, if greater; For Reissues, see below				143	430	243 215 Design issue fee	
				144	580	244 290 Plant issue fee	
				122	130	122 130 Petitions to the Commissioner	
				123	50	123 50 Petitions related to provisional applications	
				126	240	126 240 Submission of Information Disclosure Stmt	

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Docket No.: SP05US

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Submitted herewith Utility Patent Application Transmittal and enclosures for an invention entitled "A Printer Controller for a High-Speed Printer". Inventors: Paul Lapstun and Kia Silverbrook. Assignee: Silverbrook Research Pty. Ltd.

Express Mail Label

EJ191607475US)